

Probing local electronic states in the quantum Hall regime with a side-coupled quantum dot

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We demonstrate a method for locally probing the electronic states in the quantum Hall regime utilizing a side-coupled quantum dot positioned at an edge of a Hall bar. By measuring the tunneling of electrons from the Hall bar into the dot, we acquire information on the local electrochemical potential and electron temperature. Furthermore, this method allows us to observe the spatial modulation of the electrostatic potential at the edge state due to many-body screening effect.

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The edge states¹ formed in two-dimensional electron gases under strong magnetic fields play important roles in the transport properties in the quantum Hall (QH) effect.² They are formed as a consequence of the Landau quantization and the confinement potential at the edges of the devices. In conventional experimental methods for the study of electronic states in the QH effect, “voltage probes,” which are composed of macroscopic Ohmic contacts, are used. Although the voltage difference between the contacts can be measured, this is not enough to explore microscopic properties of the edge states. Also these macroscopic contacts induce disturbance of the electronic states and it is inevitable to change the original electronic states in the QH effect.³

For exploring the microscopic electronic states, local probes utilizing liquid-helium films,⁴ the Pockels effect,³ cyclotron emission,⁵ and scanning probe microscopy^{6–9} have been reported. They succeeded to show real-space images of electric fields or electron densities. Nevertheless local electrostatic and thermodynamic properties are still elusive. To investigate the electronic states in the QH regime, artificial nanostructures can be effective as demonstrated, for instance, by Granger *et al.*¹⁰ In our study, we apply side-coupled quantum dots (QDs) to obtain local electrochemical potential, electron temperature, and spatial configuration of the edge states. Similar structure has been used by Fève *et al.* for a coherent single-electron source.¹¹ The different points in our work are the QD contains only a few electrons and use it to investigate properties of the QH states. Since it is easy to obtain a side-coupled QD in the few electron regime with keeping tunneling probability to the edge,¹² we can use a well-defined single level in the QD and this enables high-energy resolution. Also the flow of electrons between the edge and the QD is regulated by the Coulomb blockade and can be very small (less than femtoampere) and the measurement has very small disturbance to the original electronic states. Though the positions of the QDs are fixed, the high-energy resolution and the sensitivity to QD-edge distance enable us to detect characteristic variation in the electrostatic potential in the QH effect.^{13,14}

We measured two devices fabricated from a GaAs/AlGaAs heterostructure wafer with the sheet carrier density of $2.1 \times 10^{15} \text{ m}^{-2}$ and the mobility of $32 \text{ m}^2/\text{V s}$. After the formation of Ohmic contacts, $36 \mu\text{m} \times 108 \mu\text{m}$ -sized Hall bars (HBs) were patterned by wet etching, followed by the deposition of Au/Ti Schottky gates to define QDs. The size

of the HBs is sufficiently large for observing the QH effect. As depicted in Fig. 1(a), one of them (Device 1) has a QD in the middle of the right edge. The other device (Device 2, not shown) has an additional QD placed $6 \mu\text{m}$ apart from contact C1 on the right edge. The devices were cooled with a dilution refrigerator (base temperature around 30 mK), and the perpendicular magnetic field B was applied using a superconducting solenoid.

Here we measure the local electronic states utilizing a QD side coupled to the edge state. In the following, we briefly summarize the technique, which is fully described in Refs. 15–17. A QD and the edge state are separated by a potential barrier formed by a Schottky gate and we detect tunneling events between them through changes in the number of electrons in the QD. This detection is realized by a remote charge detector utilizing a quantum point contact (QPC) placed next to the QD.^{18–21}

By applying square-wave voltages on gate P V_P , we regularly shift the chemical potential of the QD and form an energy window with the width ΔE [Fig. 1(b)]. To minimize the change in the tunnel barrier by the square wave, we chose gate P, which is placed at the opposite side of the tunneling barrier, for applying the square wave. When the electro-

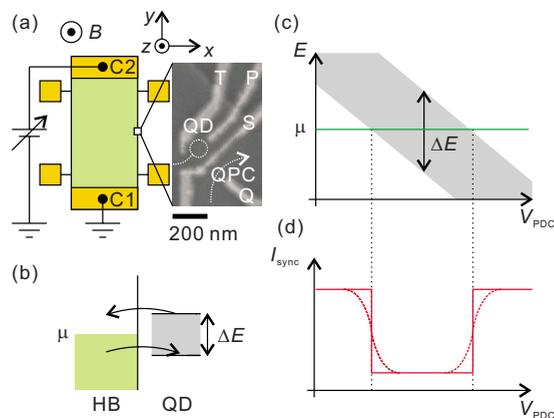


FIG. 1. (Color online) (a) Schematic of Device 1. The scanning electron micrograph shows the QD part of the device. (b) Energy diagram when the electron shuttling occurs. (c) Shift of the energy window (the gray zone) as a function of V_{PDC} . (d) I_{sync} as a function of V_{PDC} . The solid (broken) line shows an expected result at low (high) electron temperature T_e .

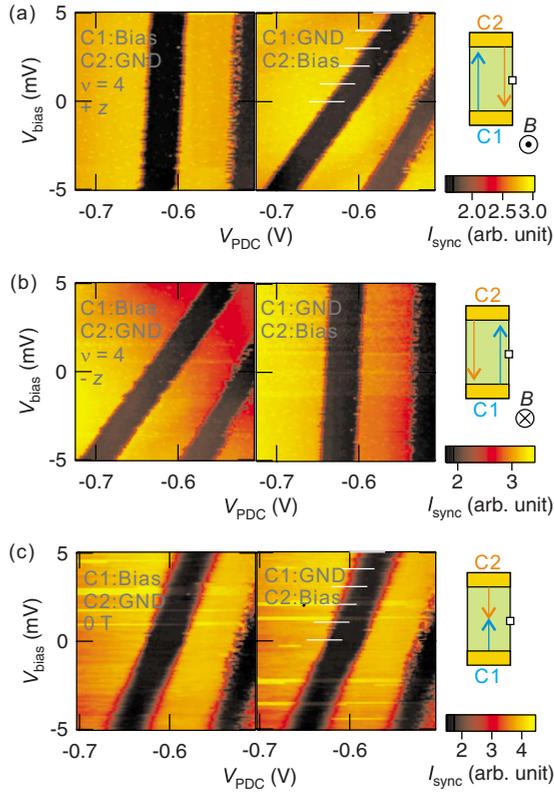


FIG. 2. (Color online) I_{sync} as a function of V_{PDC} and V_{bias} in the (a) positive, (b) negative, and (c) zero magnetic fields. The filling factor is $\nu=4$ in both (a) and (b). The left (right) graphs are the results when V_{bias} is applied on C1 (C2). The schematics in the right-hand side illustrate the direction of the edge states. The horizontal lines in (a) and (c) correspond to the data in Fig. 3.

chemical potential μ in the HB is in this window, the potential shift causes electron shuttling between the edge and the QD. The direct electrostatic coupling between gate P and the QPC leads to a synchronous current I_{sync} and the effect of the electron shuttling appears as a decrease in I_{sync} . As sweeping the dc offset voltage on gate P V_{PDC} and continuously shifting the energy window [Fig. 1(c)], a dip of I_{sync} is observed in the region in which μ and the energy window cross [Fig. 1(d)]. This dip structure contains information on the local electronic states in the vicinity of the tunneling barrier of the QD.

Figure 2 shows the observed I_{sync} of Device 1 as a function of V_{PDC} and the bias voltage on the HB V_{bias} . We used the square wave with the frequency of 833 Hz and the amplitude of 40 mV. The conductance of the QPC was set in the transition regions between plateaus to maximize the detection sensitivity. The bias across the QPC was 700 μV . We measured I_{sync} using lock-in amplifier with the reference frequency of 833 Hz. V_{bias} was applied on one contact while the other contact was connected to the ground. The left (right) graphs show the results when V_{bias} is applied on contact C1 (C2). The number of electrons in the QD is set to zero or one. The absolute number of electrons was determined through the QPC charge detection.^{20,21} In the QH regime with the filling factor $\nu=4$ ($B=2.25$ T) [Fig. 2(a)], the dip positions (bands in dark color) are almost fixed when C1 is

biased while linear shifts are observed when C2 is biased. Note that the survival of the QH effect in this bias range is confirmed by measuring the longitudinal resistance with conventional voltage probes.

This asymmetry in the bias condition is reasonable considering that the system is in the QH regime, where the voltage drop along y direction is zero except at the hot spots^{4,5} near contacts through which current flows. The result is also viewed as a consequence of the chirality of the edge states. When the magnetic field is applied in $+z$ direction [Fig. 2(a)], the electrons emitted from C2 enter the right-edge state. Then the electrochemical potential of the right-edge state is equal to zero when C2 is grounded and equal to V_{bias} when C2 is biased. When the direction of the magnetic field is reversed, the electrochemical potential of the right edge follows C1. The results shown in Figs. 2(a) and 2(b) are in good agreement with the above deduction. We attribute the small shifts of the dip positions in the left graph of Fig. 2(a) and the right graph of Fig. 2(b) to the contact resistances, which induce small voltage changes at the contacts.

At zero magnetic field, the electrochemical potential varies linearly along y direction between the two contacts irrespective of the bias condition because the edge states which suppress the energy relaxation are not formed. Since the QD is positioned halfway between the contacts, the shift of the dip positions as observed in Fig. 2(c) left (right) is just half of that in the QH regime in Fig. 2(b) left [(a) right].

We now focus on the line shape of the dip in Fig. 2. It is observed in Fig. 2(c) that the boundaries of the dip are progressively blurred as V_{bias} becomes larger. On the contrary, the boundaries are always sharp in the QH regime [Figs. 2(a) and 2(b)]. As the dip occurs when μ and the energy window intersect, its sharpness reflects the sharpness of the electron distribution around μ and thus the local electron temperature T_e , as illustrated in Fig. 1(d). The cross sections along the white lines in Fig. 2 are shown in Fig. 3.

For quantitative evaluation, we assume that the broadening follows the Fermi-Dirac distribution,

$$F(E) = [\exp\{(E - \mu)/k_B T_e\} + 1]^{-1}, \quad (1)$$

where k_B is the Boltzmann constant. To apply Eq. (1), the coefficient α to convert V_{PDC} into the energy E is necessary. By modeling a current-carrying channel as a series circuit of the zero-resistance one-way conductor (i.e., edge channel) and resistors at the two contacts, we obtain $\alpha = (1/t_1 + 1/t_2)^{-1}$, where t_1 and t_2 are the tangents of the dips when C1 and C2 are biased, respectively.²² Therefore, $\alpha = 0.061$ is directly obtained from Fig. 2. The solid lines in Figs. 3(a) and 3(b) show the results of the fitting using T_e , μ , an additional offset, and a magnitude factor as fitting parameters. For comparison, we also evaluate T_e at $\nu=4.5$. At zero bias, we obtain $T_e = 523, 621,$ and 856 mK for $B = 0$ T, $\nu=4$, and $\nu=4.5$, respectively. At this stage, it is not certain what causes the high T_e and the differences between them. One possible reason is the radiation of the noise from the QPC.^{23–25} But it is possible to analyze the effect induced by V_{bias} because the effect is large and we can extract that by evaluating the increase in the electron temperature $\Delta T_e(V_{\text{bias}}) = T_e(V_{\text{bias}}) - T_e(V_{\text{bias}} = 0)$. ΔT_e is plotted in Fig. 3(c).

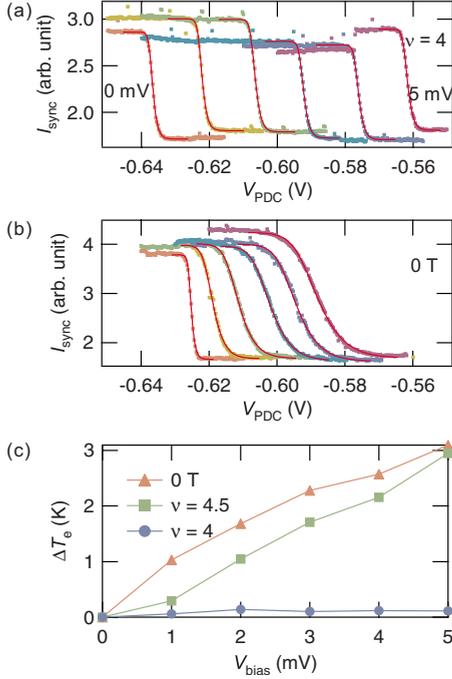


FIG. 3. (Color online) I_{sync} as a function of V_{PDC} at (a) $\nu=4$ and (b) in the zero magnetic field. The solid lines superposed on the data are the results of the fitting using T_e as a fitting parameter. From the left to the right, V_{bias} was varied from 0 to 5 mV by 1 mV. (c) ΔT_e as a function of V_{bias} .

As increasing V_{bias} , ΔT_e in non-QH conditions becomes large up to as high as 3 K. On the contrary, ΔT_e at $\nu=4$ is nearly zero (under 140 mK), regardless of V_{bias} . This certifies the lack of the scattering mechanisms that raise T_e in the QH regime.

Next we measure Device 2 in order to examine the position and B dependence of μ and ΔT_e . Figures 4(a) and 4(b), respectively, show the change in μ with the change in V_{bias} , $\delta \equiv \Delta\mu/\Delta V_{\text{bias}}$, and ΔT_e as a function of B at $V_{\text{bias}}=4$ mV at the two QD positions. In the QH regimes (gray regions), the values of δ are very close to zero or one, depending on whether the corresponding edge state is in equilibrium with the grounded or biased contact. Also, ΔT_e is very small (under 300 mK), as expected. It is consistent with the nature of the edge states that these features do not depend on the position along the device edge. Although negative ΔT_e is observed in some fields, we are not certain about the reason. In non-QH regimes, we observe that δ behaves in a manner similar to that in the QH regimes although the values are not as close to zero or one as the latter. Furthermore, δ at the middle position is symmetric with regard to B while δ near C1 is asymmetric (closer to zero or one in negative magnetic fields). In the transition region between the QH regimes suppression of backscattering and energy relaxation is lifted. This deviates δ from zero or one. As for the asymmetry, it is explained as a result of the difference in the degree of the energy relaxation. In negative fields, the electrons from C1 enter the QD near C1 without suffering the energy relaxation. Thus, the values of δ are very close to zero or one. On the other hand, in positive fields, the electrons from C2 enter the QD after large energy relaxation. In much the same rea-

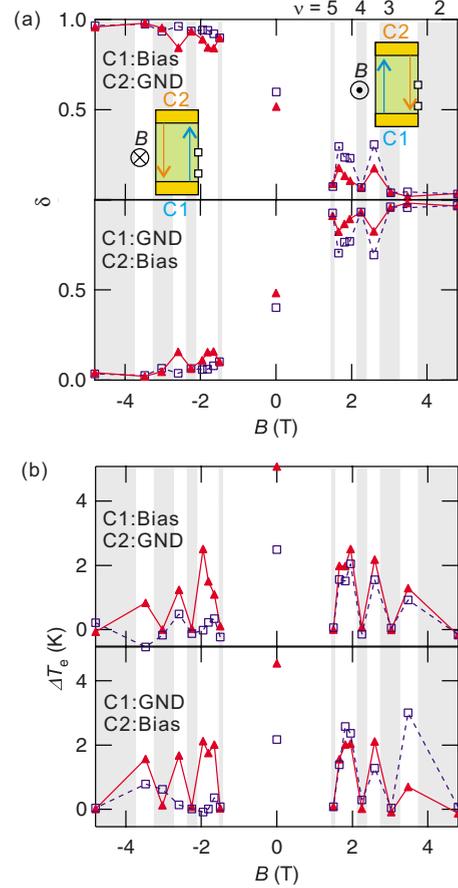


FIG. 4. (Color online) (a) $\delta \equiv \Delta\mu/\Delta V_{\text{bias}}$ as a function of B (Ref. 26). The triangles (squares) are the results of the QD at the center (near C1). The upper (lower) graph shows the result when C1 (C2) is biased. The gray regions are the QH regimes with ν as indicated. The insets show schematics of the edge states in negative and positive magnetic fields. (b) ΔT_e as a function of B . The symbols are the same as (a).

son, the asymmetry is also observed in ΔT_e for the QD near C1.

Note that the benefit of this method with a side-coupled QD is the small disturbance¹⁷ to the original electronic states. It is different from the measurement with conventional voltage probes in which disturbance by the probes is inevitable. With this property, it becomes possible to measure the degree of the relaxation shown in Fig. 4.

So far, we have confirmed that our method is capable of probing basic features of the electronic states in the QH regime, such as the chirality, and the absence of energy relaxation. We now proceed to obtain more detailed information on the edge states, namely, the spatial modulation of the electrostatic potential. In the theory beyond the single-particle picture, the reconstruction of the electrostatic potential leads to the formation of stepwise distributions (along x) of the edge states at absolute zero temperature.¹³ Even at finite temperature, this screening effect survives and makes the gradient of the Landau level dE/dx near μ smaller than that without screening [Fig. 5(a)].¹⁴ While the signatures of the screening effect have been observed in experiments on interedge tunneling²⁷ and AB-type oscillation in antidots,²⁸

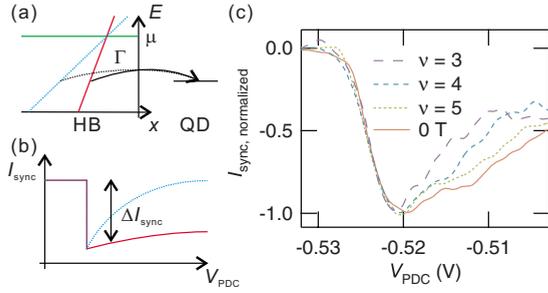


FIG. 5. (Color online) (a) Energy diagram near the device edge. The solid (broken) line shows the Landau level without (with) screening around μ . (b) I_{sync} as a function of V_{PDC} for cases without (solid line) and with (broken line) screening. (c) Normalized I_{sync} as a function of V_{PDC} .

the present method gives more direct and detailed access to this effect.

The idea is utilizing the relation between the gradient dE/dx and the tunneling rate Γ . Since Γ depends exponentially on the tunneling distance, it is highly sensitive to the change in dE/dx [arrows in Fig. 5(a)]. The dip depth ΔI_{sync} and Γ are related by the formula,¹⁵

$$\Delta I_{\text{sync}} \propto 1 - \frac{\pi^2}{\Gamma^2/4f^2 + \pi^2}, \quad (2)$$

where f is the frequency of the square wave. By adjusting f to be comparable with Γ , we can realize the condition in which ΔI_{sync} is sensitive to Γ and consequently to dE/dx . Note that in the preceding measurements, the condition $\Gamma/2f \gg 1$ was employed and ΔI_{sync} was nearly constant. Here, the bottom of the dip is no longer flat but rather shows a buildup structure with the increase in V_{PDC} reflecting the barrier thickness, as illustrated in Fig. 5(b). It is expected that the stronger screening results in a faster buildup of the bottom of the dip because of the faster decrease in Γ . In this way, we are able to investigate the electronic states below μ .

Figure 5(c) shows the observed I_{sync} as a function of V_{PDC} . The frequency of the square wave was 370 Hz in this measurement. The traces show the results at $\nu=3, 4, 5$ as well as $B=0$ T. I_{sync} is normalized to make the deepest points equal to -1 . In the measurement, we canceled the change in Γ with B by readjusting the voltage of gate T. This procedure is to compensate the possible change in the QD-edge state distance at the Fermi energy as B is varied and to extract the pure change induced by the modification of dE/dx . It is observed that the buildup of I_{sync} is faster at smaller ν . This implies the stronger screening at smaller ν . In our method, we are probing only the outermost channel because it has by far the largest tunneling probability among the channels. The degeneracy in this channel becomes larger at higher fields. This could enhance the electron-electron interactions and facilitate the redistribution of the electrons. This interpretation qualitatively explains the observed phenomena.

In conclusion, we have investigated the local electronic states in the quantum Hall regime utilizing side-coupled quantum dots as local probes. We have observed the formation of the edge states, and confirmed their chirality. We have succeeded in determining the local electron temperature and confirmed the suppression of energy relaxation in quantum Hall regime. Finally, we have investigated the screening effect in the edge states. Our results demonstrate the ability of the method to deduce the local information on the quantum Hall states, which is not obtained through the conventional transport measurements. This method will be applicable to approach the hotspots and edge states in the fractional quantum Hall effect.

Note added. Recently, we became aware of a paper by Altimiras *et al.* about nonequilibrium edge-channel spectroscopy utilizing a quantum dot between two edge channels.²⁹

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